

LOLC SECURITIES LIMITED
Level 18, West Tower, World Trade Centre, Colombo 01.
Telephone : 011-7880880 Fax : 011-2434771 Web : www.lolcsecurities.com

Know Your Customer (KYC) Application Form

Office Use Only												
CDS A/c No												
Inv. Adv. Code												
Ref. No												

SECTION A BASIC ACCOUNT INFORMATION

1. Client Type

Individual Joint

SECTION B PERSONAL DATA OF THE APPLICANT

1. Proof of Residency document provided by the applicant
(Please submit any one of the following documents and tick (✓) against the copy of the document attached)

*Telephone Bill	<input type="checkbox"/>	*Electricity bill	<input type="checkbox"/>
*Bank A/c or Credit card statement	<input type="checkbox"/>	*Mobile phone bill	<input type="checkbox"/>
*Valid Tenancy Agreement	<input type="checkbox"/>	*Income Tax Receipt/Assessment Notice	<input type="checkbox"/>
*Registered Lease or Sale agreement of Residency	<input type="checkbox"/>	*Gramasewaka Certificate	<input type="checkbox"/>
*Letter issued by the superintendent of a plantation estate in respect of Estate workers who have no other documentary proof			<input type="checkbox"/>
*Other notices/letters issued by Government authorities and institutions which will be deemed as acceptable to the CDS (Please specify)			<input type="checkbox"/>

*These documents should be within three months as on the date of submission of the CDS account opening forms

2. Status of Residency Address (Premises)

Owner Lease/Rent Friends/Relatives
 Parent Official Board/Lodging
 Other Place (Please specify).....

3. Contact Details

Telephone Office

Telephone Residence

Mobile No.

Fax

E-mail

SECTION C OTHER DETAILS

1. Employment

- Occupation
- Name of Organisation
- Address of Organisation

2. Self Employee/Professionals

- Nature of business/Profession
- Registered Address

3. Expected Value of Investment per annum (Please tick ✓)

Less than Rs.100,000.00	<input type="checkbox"/>	Rs.100,000-Rs.500,000	<input type="checkbox"/>	Rs.500,000-Rs.1,000,000	<input type="checkbox"/>
Rs.1,000,000-Rs.2,000,000	<input type="checkbox"/>	Rs.2,000,000-Rs.3,000,000	<input type="checkbox"/>	Rs.3,000,000-Rs.4,000,000	<input type="checkbox"/>
Rs.4,000,000-Rs.5,000,000	<input type="checkbox"/>	Rs.5,000,000-Rs.10,000,000	<input type="checkbox"/>	Over Rs.10,000,000	<input type="checkbox"/>

4. Source of funds (Please tick ✓)

Sales and business turnover	<input type="checkbox"/>	Contract proceeds	<input type="checkbox"/>	Investment proceeds/ Savings	<input type="checkbox"/>
Sale of property/Assets	<input type="checkbox"/>	Gift	<input type="checkbox"/>	Membership Contribution	<input type="checkbox"/>
Commission income	<input type="checkbox"/>	Family remittances	<input type="checkbox"/>	Export proceeds	<input type="checkbox"/>
Salary/Profit income	<input type="checkbox"/>	Donations/Charities (Local/Foreign)	<input type="checkbox"/>		
Other (Specify)	<input type="checkbox"/>				

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5. Other Connected Business/Professional activities & interests

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6. Other Details/Remarks/Notes

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This information is sought under the Prevention of Money Laundering Act No. 6 of 2005, Financial Transaction Reporting Act No. 6 of 2006 and the Rules for the Securities Industry issued by the Financial Intelligence Unit of the Central Bank of Sri Lanka.

All the information applicable in section A,B & C above is mandatory for the primary applicant only.

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Signature of the applicant