

## LOLC Securities Limited

481, T B Jayah Mawatha, Colombo 10, Sri Lanka.  
Tel: +94 11 5889889 Fax: +94 11 2434771  
Email: info@lolcsecurities.com Website: www.lolcsecurities.com

### Application for Online Trading – Company

CDS Account Number

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Name of the Company

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Address

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Officer Authorised to Trade

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Email Address

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Contact Number(s)

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#### **Users are advised to change their initial password at their first log on and to change password periodically**

LOLC Securities Limited (Broker) or IronOne Technologies (Pvt) Limited (System Provider) will not be liable for any unauthorised use of the password by third parties or for an unauthorised order or trade executed, and for any accidental and /or erroneously executed trades and any losses arising out of such trades would be the sole responsibility of the client. However, the client may contact LOLC Securities Limited immediately to arrange a manual trade cancellation, subject to counter party and Colombo Stock Exchange approval

All the trades shall be subject to Conditions of Sale / Purchases of the Colombo Stock Exchange and rules of the Central Depository Systems (Pvt) Ltd. The client will be responsible for the settlement of all trades executed on behalf of accounts under the above user ID

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**Company Seal**

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**Authorised Signatory 1**

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**Authorised Signatory 2**

#### For Office Use Only

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Investment Advisor

\_\_\_\_\_

Signature/Email Verified by

\_\_\_\_\_

Date verified

\_\_\_\_\_

User Account Created by

\_\_\_\_\_

User ID

\_\_\_\_\_

Date Created

**LOLC SECURITIES LIMITED  
ONLINE TRADING AGREEMENT  
(For Individual/Corporate Clients)**

**THIS AGREEMENT** made and entered into at Colombo in the Democratic Socialist Republic of Sri Lanka on this ..... day of ..... Two Thousand and ..... (20..... )

**BY AND BETWEEN**

**LOLC SECURITIES LIMITED** a company duly incorporated under the laws of Sri Lanka and having its registered office at 100/1, Sri Jayawardenapura Mawatha, Rajagiriya (hereinafter referred to as "Broker" which term as herein used where the context so requires mean and include the said LOLC SECURITIES LIMITED and its successors and permitted assigns) of the **ONE PART**

**AND**

..... of .....

..... (hereinafter referred to as "**the client**" which term shall as herein used where the context so requires mean and include the said ..... his/her heirs executors, administrators and assigns or its successors and permitted assigns) of the **OTHER PART**.

And the term Broker, and the Client, herein mentioned are individually referred to as "**the party**" and collectively as "**the Parties**"

**WHEREAS** the client has requested the Broker for online trading facilities to facilitate the securities trading transactions at Colombo Stock Exchange in the CDS Account No LSE-..... at the Central Depository Systems (Pvt) Limited.

**AND WHEREAS** the Broker has agreed with the client to provide such online Trading facility upon the terms and the conditions hereinafter mentioned and the client has agreed with the Broker to act in compliance with the said terms and conditions hereinafter mentioned and to enter into and execute these presents.

**RESPONSIBILITIES AND OBLIGATION OF EACH PARTY**

**CLIENT**

1. The on line application system/systems shall be used solely for the purpose of operating the CDS account of the client and shall not be used by the client to operate CDS accounts of any other person, except in instances set out in the guidelines issued by the CSE.
2. The client is responsible & liable for the settlement of all trades executed through the User ID & Password provided by the broker.
3. The client is responsible & liable for all trades including any unauthorized/manipulated trades via online trading system provided by the broker.

**BROKER**

1. Broker shall provide a link to client to enable them to access the CSE/CDS/SEC Rules
2. Broker Ensures the confidentiality of information provided by the client & shall not be disclosed to any other person except in instances set out in the guidelines issued by the CSE.
3. The broker shall have the sole discretion of changing the software vendor and any modifications / restrictions to the online trading system.

**BROKER AND THE CLIENT**

1. All trades shall be subject to Rules & Regulations of the CSE & CDS.
2. This agreement shall be governed by and be subject to the applicable laws for the time being in force in the Democratic Socialist Republic of Sri Lanka

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**LOLC SECURITIES LIMITED**  
by its authorized officer

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**Client**